

## Monthly Scrap Value Purchases Survey Reporting Requirements (DOR-SV (10/00))

### A. Definitions

**“Authorized Representative”** means the person authorized by the certified processor to submit data for the organization as complete, accurate, and verifiable.

**“Beneficiating Processor”** (PR BP) means the certified processor who sells beneficiated cullet generally to a glass container or fiberglass manufacturer. Cullet sold by PR BPs meets ASTM standards for furnace ready glass or is substantially free of all non-glass contaminants and is crushed to a consistent size.

**“Bimetal”** means a beverage container which consists of one or more metals and which is composed primarily of steel (PRC Section 14506.3).

**“Entity Type Purchased From”** means the type of certified or non-certified entity type for each container material type from which the processor purchased material, including:

- **RC, RV** = Certified Recycling Center or Reverse Vending Machine
- **CS** = Registered Curbside
- **SP** = Community Service Program
- **CP** = Drop-off or Collection Program
- **Non-Cert** = Non-certified entity
- **PR** = Certified Processor
- **Internal** = An entity that is owned or managed in common with the purchasing processor and any entity delivering curbside generated beverage container material mixed with other material types.

For Reporting glass scrap value purchases only

- **PR BP** = Certified Beneficiating Processor
- **PR Non-BP** = Certified Non-Beneficiating Processor

**“Glass”** means a beverage container or food or drink container, whose principal component part or parts consist of virgin, postfilled glass, or any combination of both, in which any beverage, food, or drink are sold. Glass does *not* include plate glass, automobile glass, ornamental glass, vision ware, corning ware etc.

**“Non-Beneficiating Processor”** (PR Non-BP) means any person, including a scrap dealer, certified by the Department who purchases empty beverage containers from more than one entity type and is responsible for canceling empty beverage containers.

**“Plastic Beverage Container”** means a beverage container which has a body consisting primarily of plastic (PRC Section 14517). A plastic beverage container may be in resins #1 - #7.

**“Scrap Value”** means the price paid for container material types subject to the California Beverage Container Recycling and Litter Reduction Act (Act), after shipping and handling costs are deducted.

## **B. Certified Processor Scrap Value Purchases Operating and Recordkeeping Procedures**

It should be noted that the Division does conduct scrap value reporting verification site visits. These visits are conducted to compare reported monthly scrap value data and original processor records. All aggregate monthly scrap value data reported by entity type should be consistent with the sum of all received weight documented on individual Shipping Reports (DR6s) and weight tickets for the same time period.

These instructions are designed to be a step-by-step reference for certified processors to use when completing Scrap Value Purchases Survey Forms (Survey Forms). These instructions do not cover the various operating, record keeping, and accounting requirements with which certified recycling centers and processors must comply (e.g. load inspections, receipts/logs preparation, daily summary preparation, etc.). Please refer to Title 14 of the California Code of Regulations.

NOTE: If you have any questions concerning completion of the Survey Form, please contact the Market Research Branch at (916) 323-5778.

## **C. Procedures for Completing Scrap Value Purchases Survey Form**

Survey Forms shall be submitted to the Division no later than the 10<sup>th</sup> day of the month, following the month of the report (February report due by March 10<sup>th</sup>). Completed surveys may be faxed to the Market Research Branch at (916) 445-0645 or mailed to Department of Conservation, Division of Recycling, Market Research Branch Attn: Scrap Value Survey, 801 K Street, MS #17-24, Sacramento, CA 95814.

Survey Forms must be submitted regardless of whether or not purchases occurred in the applicable time period. If no purchases were made, the Survey Form shall be completed and sent with zeros in all applicable areas or marked nothing to report.

The following is a step-by-step procedure for completing Survey Forms. An itemized copy of a Survey Form described in the procedures below is attached.

- Item 1:**        **Processor Name** – Name of processor as it appears on the certificate issued by the Division.
- Item 2:**        **Certification Number** - Processor certification number, as it appears on the certificate issued by the Division.
- Item 3:**        **Survey Month** - Applicable month, for example, all purchases by the processor during the month of April are reported during the survey month of April.
- Item 4:**        **Address** - Facility address, city and zip code exactly as it appears on the certificate issued by the Division.
- Item 5:**        **Phone** - Area code and phone number of the authorized representative signing the Survey Form.

- Item 6:**        **Date Prepared** - Date Survey Form was prepared.
- Item 7:**        **Print Name** – Printed name of authorized representative signing the Survey Form.
- Item 8:**        **Signature** – Signature of authorized representative, by which signing the Survey Form represents the information submitted is complete, accurate and verifiable.
- Item 9:**        **Total Weight Purchased (Tons)** – Total sum of received weight in tons purchased in that month of any recyclable container material consisting of the same material type from shippers of the same entity type, to a maximum of two decimal points. In the case where the volume is less than one ton, (Bimetal or #3 - #7 plastics) the weight in tons should be carried to the fourth decimal point.
- Item 10:**       **Total Net Payment** – Total sum of dollars paid to shippers (customers) of the same entity type. The Total Net Payment is the Scrap Value after shipping, freight, administration fees, sorting or handling charges, refund value and processing payments are deducted. The Total Net Payment may be a positive, zero, or negative dollar amount.

**For Reporting Glass Scrap Value Purchases Only**

- Item 11:**       **Monthly total weight (tons) by color** – The total received weight by color of each of the factions of glass purchased. The total of flint, amber, green and mixed glass must equal the sum of the total received weight purchased from RC, CS, SP, CP, Non-Cert and Internal entities.

**For Reporting Processor to Processor Glass Scrap Value Purchases Only**

- Item 12:**       **Monthly total weight (tons)** – The total received weight of Color-Sorted and Mixed-Color (Mixed) glass purchased. The sum of the total weight in tons of Color-Sorted and Mixed-Color glass must equal the sum of the total received weight purchased from PR BP and PR Non-BP (#9 and #10) for processor to processor transactions.

Bimetal and plastic beverage containers are reported as previously described except that separate reporting is not required by color or for processor to processor transactions, as in case of glass container material.

Plastic beverage containers resins #1 - #7 are empty beverage container materials (CRV) and Non-CRV materials in each of the corresponding resin types: # 1 PET (polyethylene terephthalate), # 2 HDPE (high density polyethylene), # 3 V (Vinyl), # 4 LDPE (low density polyethylene), # 5 PP (polypropylene), # 6 PS (polystyrene) and # 7 OTHER. #7 OTHER includes any container made from a material that is not #1 through #6 or is made from more than one type of plastic (multilayered). Each plastic resin type must be recorded in the appropriate boxes.

**SCRAP VALUE PURCHASES SURVEY FORM**

Market Research Branch, 801 K Street, 17th Floor, MS 17-24, Sacramento, CA 95814  
Phone: (916) 323-5778, Fax: (916) 445-0645

**Confidential**

Processor Name \_\_\_\_\_ **1**  
Certification Number \_\_\_\_\_ **2**  
Survey Month \_\_\_\_\_ **3**  
Address \_\_\_\_\_ **4**  
Phone \_\_\_\_\_ **5**  
Date Prepared \_\_\_\_\_ **6**

*To the best of my knowledge, the information submitted on this scrap value survey form is complete, accurate and verifiable.*

Print Name \_\_\_\_\_ **7**  
Signature \_\_\_\_\_ **8**

**Glass:**

Entity Type Purchased from	<b>9</b> Total Weight Purchased (Tons)	<b>10</b> Total Net Payment
1. RC, RV	.	.
2. CS	.	.
3. SP	.	.
4. CP	.	.
5. Non-Cert	.	.
6. Internal	.	.

Monthly total weight (tons) by color

**11**

Flint \_\_\_\_\_ Green \_\_\_\_\_

Amber \_\_\_\_\_ Mixed \_\_\_\_\_

**Processor to Processor Transactions**

Entity Type Purchased from	<b>9</b> Total Weight Purchased (Tons)	<b>10</b> Total Net Payment
7. PR BP	.	.
8. PR Non-BP	.	.

Monthly total weight (tons):

**12**

Color Sorted \_\_\_\_\_ Mixed \_\_\_\_\_

**Bimetal:**

Entity Type Purchased from	<b>9</b> Total Weight Purchased (Tons)	<b>10</b> Total Net Payment
1. RC, RV	.	.
2. CS	.	.
3. SP	.	.
4. CP	.	.
5. Non-Cert	.	.
6. PR	.	.
7. Internal	.	.

**1 PETE Plastic: (polyethylene terephthalate)**

Entity Type Purchased from	<b>9</b> Total Weight Purchased (Tons)	<b>10</b> Total Net Payment
1. RC, RV	.	.
2. CS	.	.
3. SP	.	.
4. CP	.	.
5. Non-Cert	.	.
6. PR	.	.
7. Internal	.	.

**2 HDPE Plastic: (high density polyethylene)**

Entity Type Purchased from	<b>9</b> Total Weight Purchased (Tons)	<b>10</b> Total Net Payment
1. RC, RV	.	.
2. CS	.	.
3. SP	.	.
4. CP	.	.
5. Non-Cert	.	.
6. PR	.	.
7. Internal	.	.

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Market Research Branch, 801 K Street, 17th Floor, MS 17-24, Sacramento, CA 95814

Phone: (916) 323-5778, Fax: (916) 445-0645

**Confidential****1**

Processor Name

**2**

Certification Number

**3**

Survey Month

**5 PP: (polypropylene)**

Entity Type Purchased from	<b>9</b> Total Weight Purchased (Tons)	<b>10</b> Total Net Payment
1. RC, RV	.	.
2. CS	.	.
3. SP	.	.
4. CP	.	.
5. Non-Cert	.	.
6. PR	.	.
7. Internal	.	.

**3 V: (vinyl)**

Entity Type Purchased from	<b>9</b> Total Weight Purchased (Tons)	<b>10</b> Total Net Payment
1. RC, RV	.	.
2. CS	.	.
3. SP	.	.
4. CP	.	.
5. Non-Cert	.	.
6. PR	.	.
7. Internal	.	.

**6 PS: (polystyrene)**

Entity Type Purchased from	<b>9</b> Total Weight Purchased (Tons)	<b>10</b> Total Net Payment
1. RC, RV	.	.
2. CS	.	.
3. SP	.	.
4. CP	.	.
5. Non-Cert	.	.
6. PR	.	.
7. Internal	.	.

**4 LDPE: (low density polyethylene)**

Entity Type Purchased from	<b>9</b> Total Weight Purchased (Tons)	<b>10</b> Total Net Payment
1. RC, RV	.	.
2. CS	.	.
3. SP	.	.
4. CP	.	.
5. Non-Cert	.	.
6. PR	.	.
7. Internal	.	.

**7 OTHER: (includes multilayer)**

Entity Type Purchased from	<b>9</b> Total Weight Purchased (Tons)	<b>10</b> Total Net Payment
1. RC, RV	.	.
2. CS	.	.
3. SP	.	.
4. CP	.	.
5. Non-Cert	.	.
6. PR	.	.
7. Internal	.	.